

# Evidence of Electron Neutrino Appearance in a Muon Neutrino Beam

[arXiv:1304.0841](https://arxiv.org/abs/1304.0841)

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# Neutrino physics for its oscillation

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The behavior of their [mixing](#) is explained by PMNS matrix (Pontecorvo-Maki-Nakagawa-Sakata).

$$|\nu_\alpha(t)\rangle = \sum_i U_{\alpha i} |\nu_i(t)\rangle$$

Flavor eigenstates

≠

Mass eigenstates

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{-i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

The oscillation of 2-flavor case:

$$P(\nu_e \rightarrow \nu_\mu) = \underbrace{\sin^2 2\theta}_{\text{mixing}} \sin^2 \left( \frac{\Delta m^2}{4E} L \right) + \text{CP phase}$$

$\Delta m^2 = |m_e^2 - m_\mu^2|$

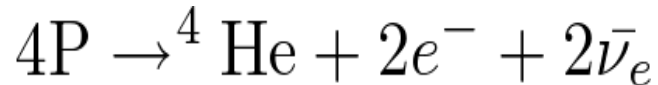
If the neutrino mixing exists ( $\theta \neq 0$ ) and neutrinos have masses ( $\Delta m \neq 0$ ), the neutrino oscillation occurs.

# Neutrino oscillation

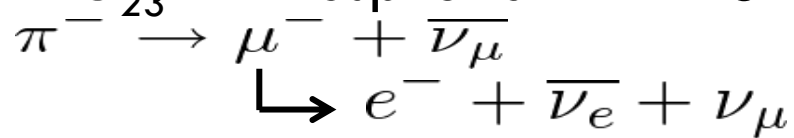
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The oscillation of neutrinos occur by the mixing of massive neutrinos.

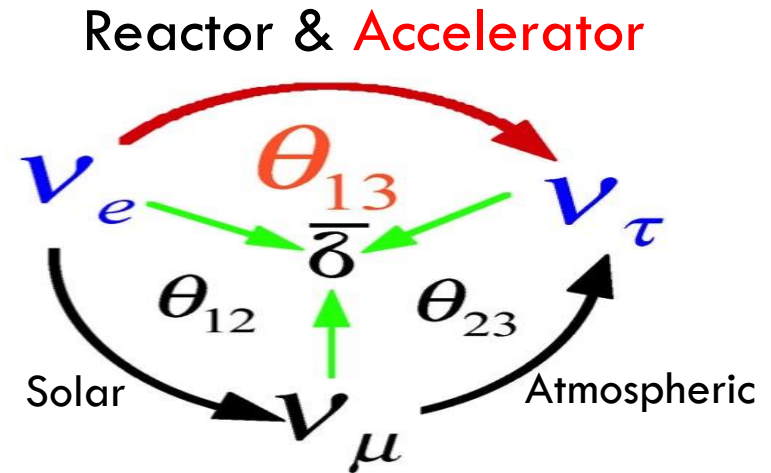
- $\Theta_{12}$ : Solar  $\approx 34^\circ$



- $\Theta_{23}$ : Atmospheric  $\approx 45^\circ$



- $\Theta_{13}$ : Reactor and Accelerator  $\Rightarrow$  today's topic



$$P_{\nu_\mu \rightarrow \nu_e} \approx \sin^2 \theta_{23} \sin^2 \theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E_\nu} + \text{CP phase}$$

# $\theta_{13}$

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## reactor

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = \underbrace{P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) + P(\bar{\nu}_e \rightarrow \bar{\nu}_\tau)}$$

- 🐱  $\theta_{13}$  can be measured directly since  $\theta_{23}$  and CP phase are canceled.
- 🐱 Event control is difficult.
- 🐱 For the short distance, there is no sensitivity for appearance.

## accelerator

$$P_{\nu_\mu \rightarrow \nu_e} \approx \sin^2 \theta_{23} \sin^2 \theta_{13} \sin^2 \frac{\Delta m_{32}^2 L}{4E_\nu}$$

- 🐱 Since the oscillation depends on many parameters, the measurement of  $\theta_{13}$  is indirect.
- 🐱 Event control is not difficult.
- 🐱 Canceling the CP phase in the same way as upper blue line,  $\theta_{23}$  is measurable.

At the accelerator, the direct measurement of  $\theta_{13}$  is hopeless, but the measurement of CP has sensitivity.

⇒ **The complementarity of reactor and accelerator is very important !!**

# The formula of the neutrino oscillation of $\nu_\mu \rightarrow \nu_e$

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$$\begin{aligned}
 P_{\nu_\mu \rightarrow \nu_e} = & \frac{1}{(A-1)^2} \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 [(A-1)\Delta] \\
 & - (+) \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\
 & \quad \sin \delta_{CP} \sin \Delta \sin A\Delta \sin [(1-A)\Delta] \\
 & + \frac{\alpha}{A(1-A)} \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \times \\
 & \quad \cos \delta_{CP} \cos \Delta \sin A\Delta \sin [(1-A)\Delta] \\
 & + \frac{\alpha^2}{A^2} \cos^2 \theta_{23} \sin^2 2\theta_{12} \sin^2 A\Delta
 \end{aligned}$$

Here  $\alpha = \frac{\Delta m_{21}^2}{\Delta m_{32}^2} \ll 1$ ,  $\Delta = \frac{\Delta m_{32}^2 L}{4E_\nu}$  and  $A = 2\sqrt{2}G_F N_e \frac{E_\nu}{\Delta m_{32}^2}$ , where  $N_e$  is the electron density of the Earth's crust.

# T2K

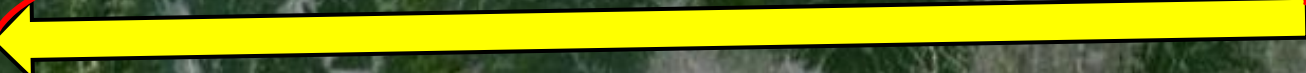
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Run Period	Dates	Integrated POT by SK
Run 1	Jan. 2010-Jun. 2010	$0.32 \times 10^{20}$
Run 2	Nov. 2010-Mar. 2011	$1.11 \times 10^{20}$
Run 3	Mar. 2012-Jun. 2012	$1.58 \times 10^{20}$

Kamioka

295km

J-PARC

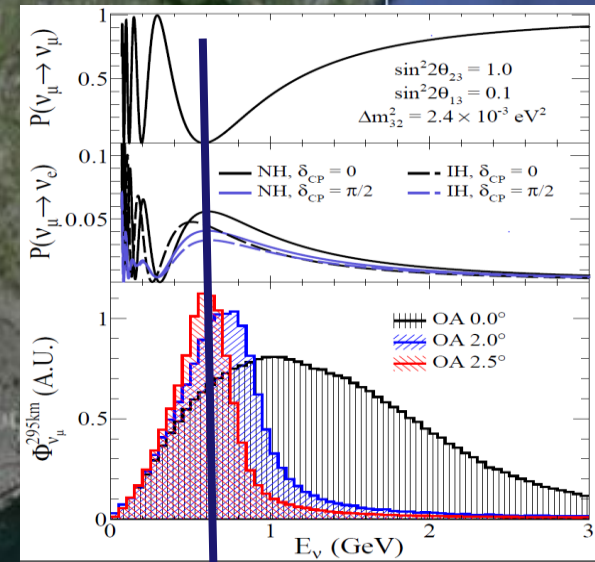


295km is the oscillation maximum point.  
 For obtaining the maximum of neutrino mixing ( $n=1$ ), the length and the neutrino energy were set.

$$\Delta m_{23}^2 \sim 2.4 \times 10^{-3} \text{eV}^2 : L = 295 \text{km}$$

$$1.27 \times \frac{\Delta m_{23}^2 L}{E_\nu} = \frac{(2n - 1)\pi}{2} \quad (1)$$

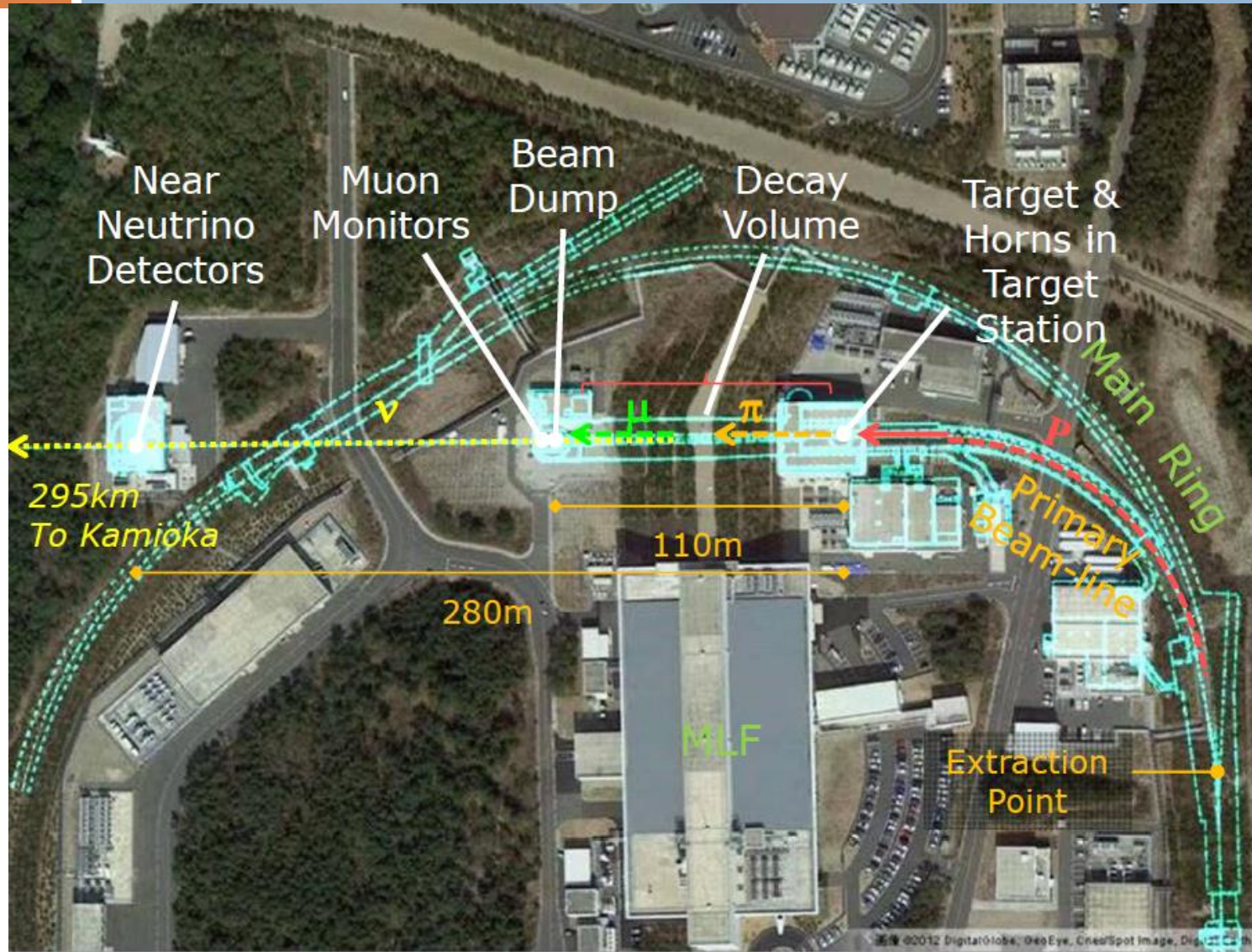
KUMAGAYA





# Accelerator

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# Experimental equip – Accelerator -

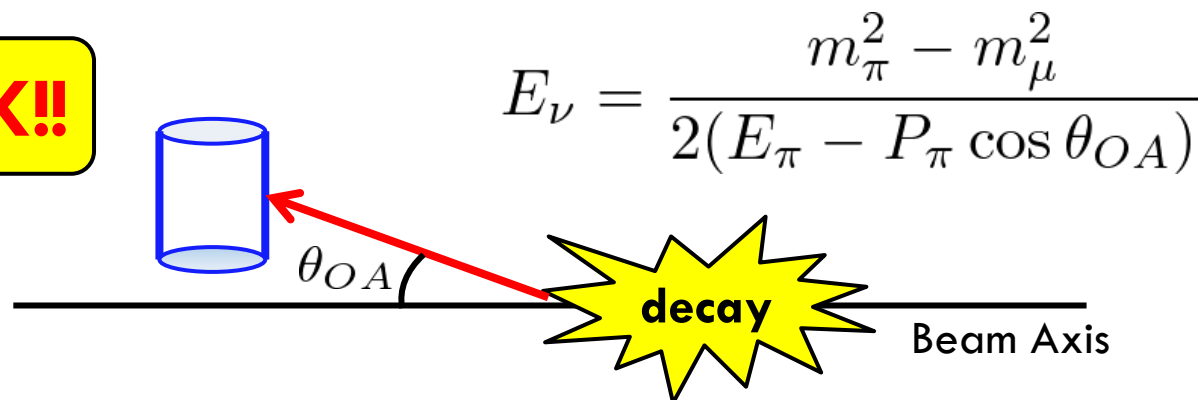
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By striking the protons to the targets (Carbon), K and  $\pi$  mesons are generated. At this time, positive charged ones are selected and they decay into  $\mu^+$  and  $\nu_\mu$ .

The muon neutrino beam generated in J-PARC (Tokai-Mura) penetrate to the near detector which is placed in 280 m from the target and the far detector, Super Kamiokande (SK) which is placed in 295 km from that.

In particular, narrow band beam is obtain using **off-axis configuration (OA)** for optimizing the neutrino energy spectrum at the T2K.

**Only T2K!!**





# Experimental equip – Near Detector-

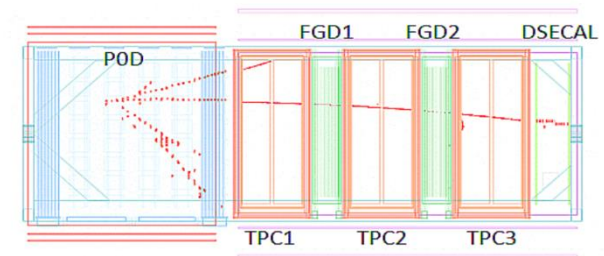
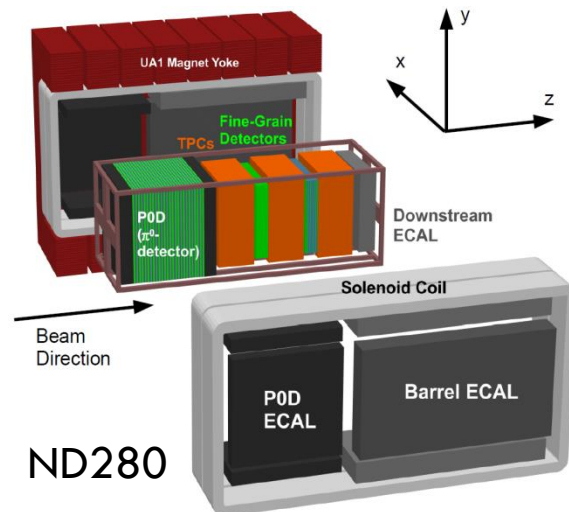
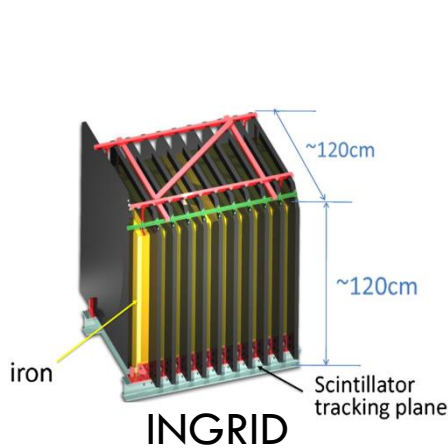
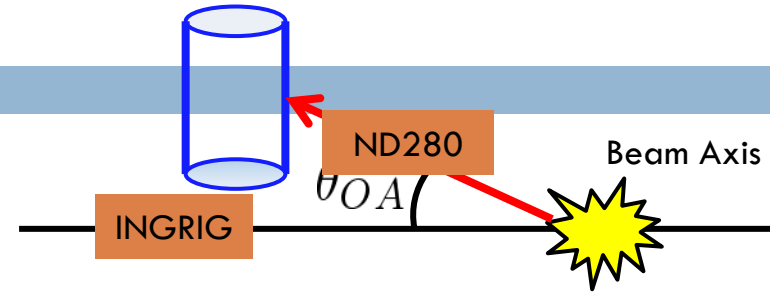
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## ➤ INGRID – on-axis

- 280 m downstream of the proton target.
- Measuring the neutrino beam direction within 1 mrad uncertainty for suppressing the systematic error by 2%.
- A module is a sandwich of 9 iron target plates and 11 scintillator tracking planes
- The charged particle from neutrino interaction at iron is detected.

## ➤ ND280 – off axis = same direction as SK

- measuring the  $\nu$  energy spectrum, kind of  $\nu, \bar{\nu}$  cross section before oscillation
- 0.2 T magnetic field, ECAL,  $\pi^0$  detector, fine-grained scintillator ...



The event display at ND280

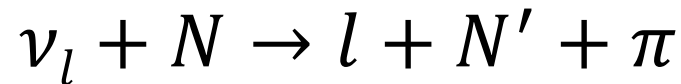
# Neutrino model

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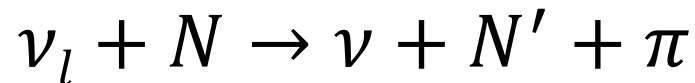
- Charged Current Quasi-Elastic (CCQE)



- Charged Current interaction (CC1 $\pi$ )



- Neutral Current interaction (NC1 $\pi$ )



# Muon finding at ND280

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- Geant4-based MC simulation using neutrino flux describe.
- $2.66 \times 10^{20}$  POT (proton on target)
- Muons were selected for creating CC  $\nu_\mu$ .
  - The highest momentum negatively lepton was found for each event.
  - Muon which was detected at both TPC1 and FGD1 was rejected.
  - Only one muon-like track in the final state.
  - No additional tracks which pass through both FGD1 and TPC2.
  - No Michel electrons. (low energy or stopped, PID by time-delay)
  - Other condition such as momentum and  $\cos\theta_\mu$ (the angle between z-axis and muon direction)
- For measuring  $\nu_e$  appearance, they had to know how many  $\nu_e$  they had in beam.
  - The cut condition is almost all same to muon

TABLE VII: Number of data and predicted events for the ND280 CC-inclusive selection criteria.

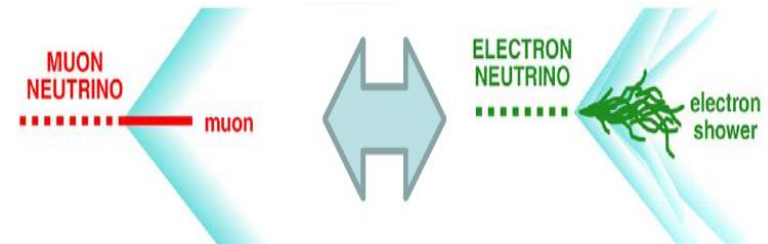
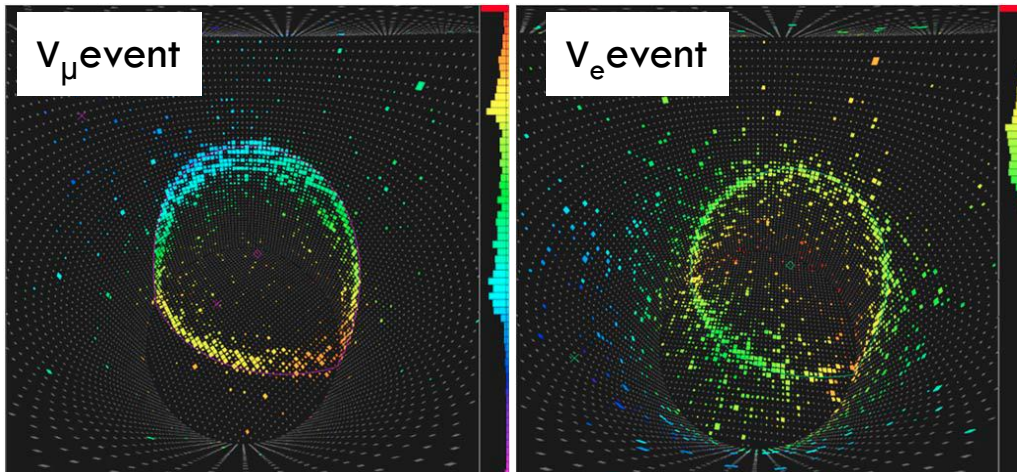
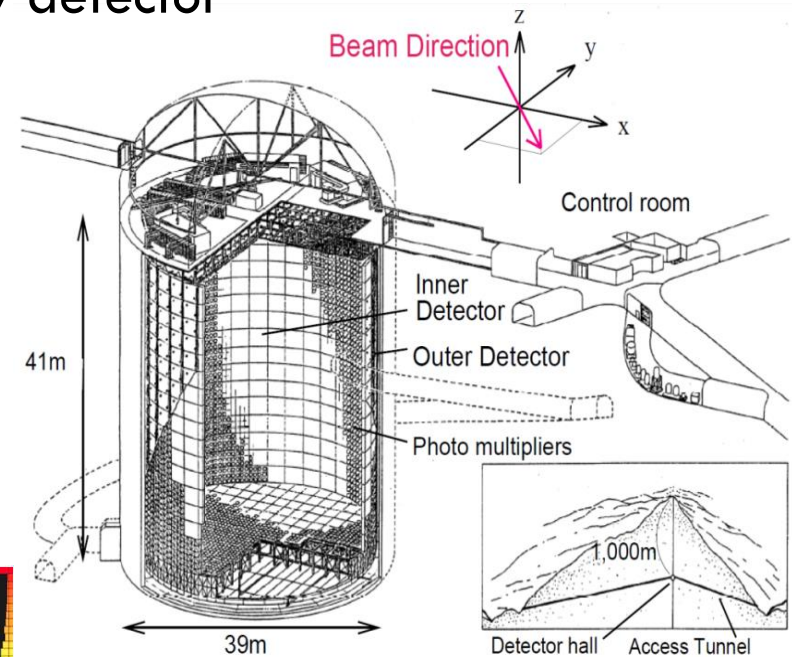
	Data	MC
Good negative track in FV	21503	21939
Upstream TPC veto	21479	21906
$\mu$ PID	11055	11498

# Experimental equip

## – SK(Super Kamiokande) - far Detector-

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- 50 kt water world largest Cherenkov detector
- ID(inner detector)
  - 11129 PMT (20 inch)
  - for obtaining the signals
- OD(outer detector)
  - 1885 PMT (8 inch)
  - for bkg.(cosmic ray and rocks)
- PID using the ring image



# SK detector simulation

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- Detector simulation
  - Using SKDETSIM which is based on GEANT3 for detector simulation was applied.
- Neutrino event selection for detector bkg.
  - Obviously hit on OD (more than 15 hits)
  - For suppressing the low energy background, at 300ns time window measurement, they required 200 photonelectrons and  $E_{vis} > 20\text{MeV}$ .
  - If half of total charge are detected on 1 PMT, that event was rejected.
  - Rejection for “Flasher events”, charge deposit near dynode
    - Although the ring of Neutrino event is larger than that of Flasher event, since signals are sometimes generated near ID, they are easy to misidentify.



# Event selection

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Cut table at  $\theta_{13} = 0$  (top table) and  $\theta_{13} \neq 0$  (bottom one)

	Data	MC total	CC $\nu_\mu$	CC $\nu_e$	NC	CC $\nu_\mu \rightarrow \nu_e$
(0) interaction in FV	n/a	299.0	158.5	8.6	131.6	0.3
(1) fully contained in FV	174	168.5	119.8	8.2	40.2	0.3
(2) single ring	88	85.4	68.5	5.3	11.4	0.2
(3) $e$ -like	22	16.1	2.7	5.2	8.0	0.2
(4) $E_{\text{vis}} > 100$ MeV	21	14.1	1.8	5.2	6.9	0.2
(5) no delayed electron	16	10.6	0.3	4.2	5.9	0.2
(6) not $\pi^0$ -like	11	4.8	0.09	2.9	1.6	0.2
(7) $E_\nu^{\text{rec}} < 1250$ MeV	11	3.3	0.06	1.8	1.2	0.2

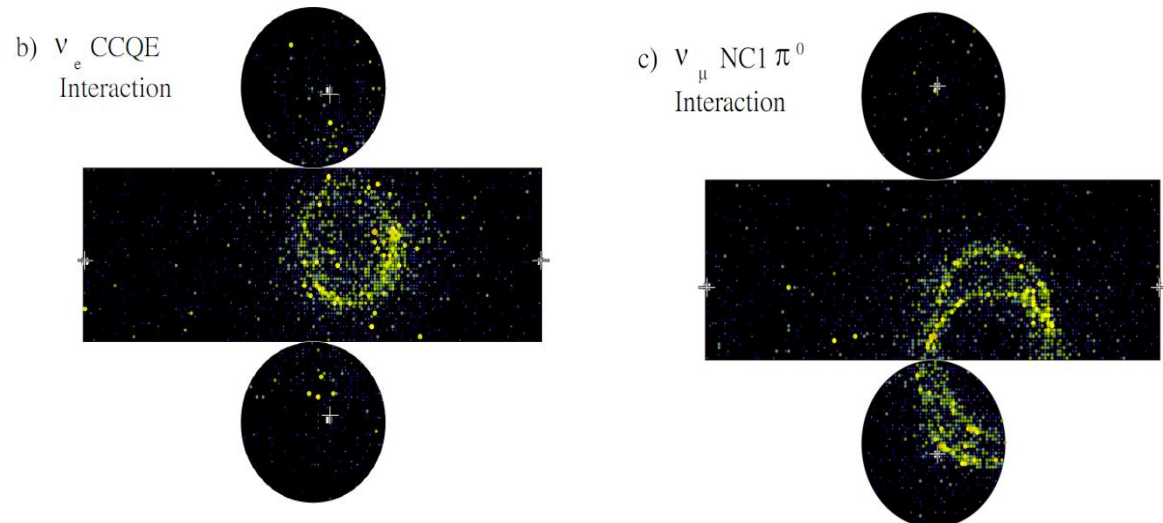
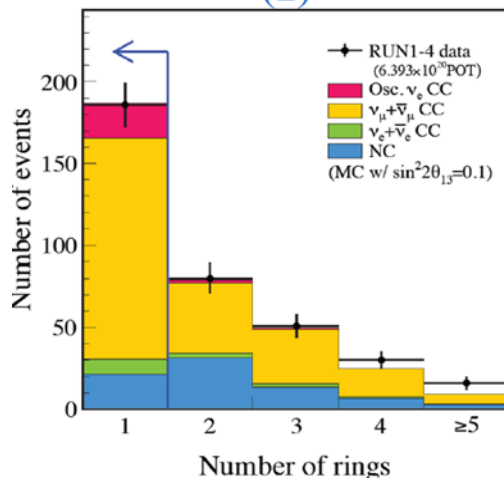
	Data	MC total	CC $\nu_\mu$	CC $\nu_e$	NC	CC $\nu_\mu \rightarrow \nu_e$
(0) interaction in FV	n/a	311.4	158.3	8.3	131.6	13.2
(1) fully contained in FV	174	180.5	119.6	8.0	40.2	12.7
(2) single ring	88	95.7	68.4	5.1	11.4	10.8
(3) $e$ -like	22	26.4	2.7	5.0	8.0	10.7
(4) $E_{\text{vis}} > 100$ MeV	21	24.1	1.8	5.0	6.9	10.4
(5) no delayed electron	16	19.3	0.3	4.0	5.9	9.1
(6) not $\pi^0$ -like	11	13.0	0.09	2.8	1.6	8.5
(7) $E_\nu^{\text{rec}} < 1250$ MeV	11	11.2	0.06	1.7	1.2	8.2

# Event selection

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- (0) interaction in FV
- (1) fully contained in FV
- (2) single ring
- (3)  $e$ -like
- (4)  $E_{\text{vis}} > 100$  MeV
- (5) no delayed electron
- (6) not  $\pi^0$ -like
- (7)  $E_{\nu}^{\text{rec}} < 1250$  MeV

- (0) means all events which were interaction to ID.
- (1) is the selection to reject the detector bkg. such as flasher.
- (2) is suppress the beam background such as neutral hadrons like  $\pi^0$  and  $K^0$ . the cut criteria is decided by MC study.

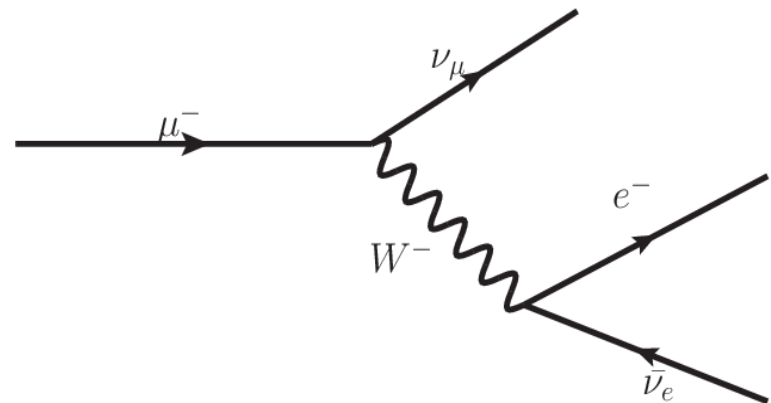
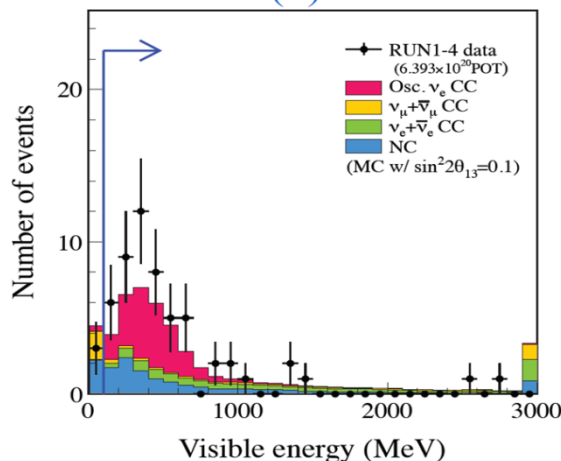


# Event selection

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- (0) interaction in FV
- (1) fully contained in FV
- (2) single ring
- (3)  $e$ -like
- (4)  $E_{\text{vis}} > 100$  MeV
- (5) no delayed electron
- (6) not  $\pi^0$ -like
- (7)  $E_{\nu}^{\text{rec}} < 1250$  MeV

- (3) is the selection for  $e$  or  $\mu$  neutrino.  
The cut criteria is also determined by MC study.
- (4) Visible energy
  - the energy of an electromagnetic shower that produces the observed amount of Cherenkov light
  - Cosmic ray muon, pion
- (5) The electron decayed from  $\mu$

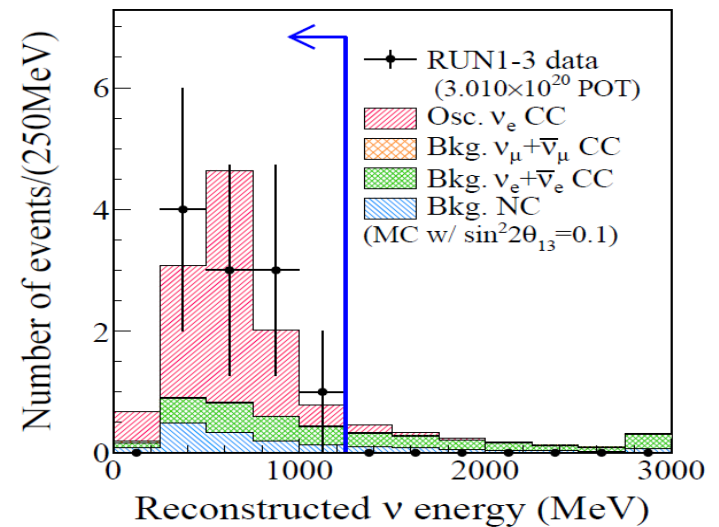
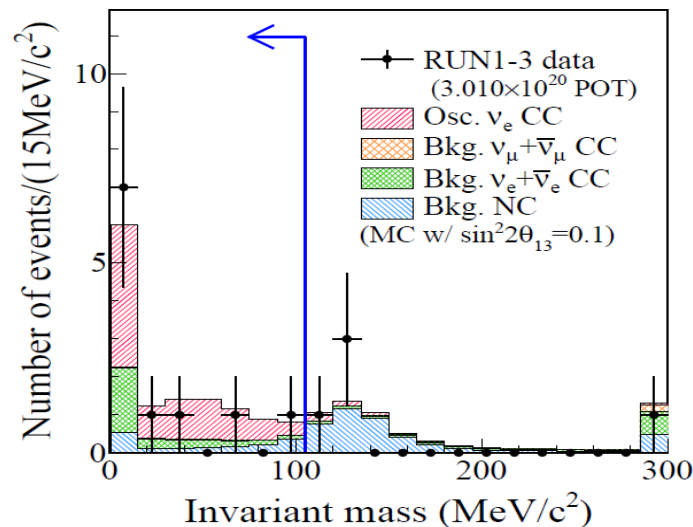


# Event selection

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- (0) interaction in FV
- (1) fully contained in FV
- (2) single ring
- (3)  $e$ -like
- (4)  $E_{\text{vis}} > 100$  MeV
- (5) no delayed electron
- (6) not  $\pi^0$ -like
- (7)  $E_{\nu}^{\text{rec}} < 1250$  MeV

- (6) Likelihood which is based on light pattern and ring image. And using invariant mass  $M_{\text{inv}} > 105$  MeV
- (7) they can separate atmospheric mass splitting and signal. And in high energy region, background are dominant.



# Conclusion

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- The evidence of electron neutrino appearance in a muon neutrino beam with a baseline.
  - 11 candidate  $\nu_e$  events were observed (bkg.  $3.3 \pm 0.4$  syst.).
- Future measurements of appearance probability for antineutrinos will provide a future constraint on  $\delta_{CP}$  and the mass hierarchy.

$$\sin^2 \theta_{13} = 0.088^{+0.049}_{-0.039} \quad (\text{normal hierarchy})$$

$$\sin^2 \theta_{13} = 0.108^{+0.059}_{-0.046} \quad (\text{inverted hierarchy})$$



# New result

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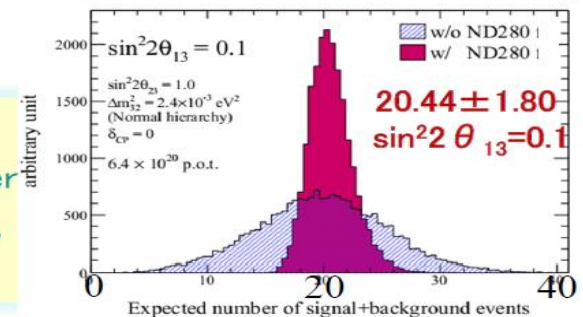
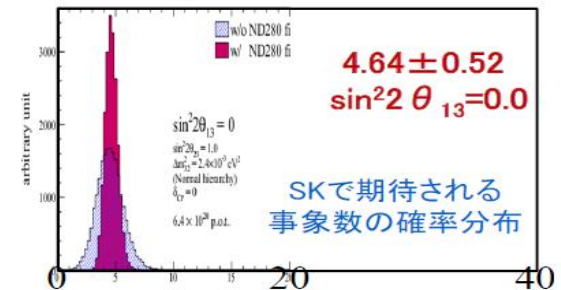
## Candidate event summary



Data	28	
MC	$\sin^2 2\theta_{13}=0$	$\sin^2 2\theta_{13}=0.1$
Osci. $\nu_{\mu} \rightarrow \nu_e$	0.38	16.42
$\nu_e$ BG (Beam)	3.17	2.93
$\nu_{\mu}$ BG (NC $\pi^0$ etc)	0.89	0.89
$\bar{\nu}_e + \bar{\nu}_{\mu}$ BG	0.20	0.19
MC Total	4.64	20.44
Sys.Err(%)	(11.1%)	(8.8%)
Sys.Err(#)	$\pm 0.52$	$\pm 1.80$
Sys.Err(%)–2012	(13.0%)	(9.9%)

Parameter	Value
$\Delta m_{21}^2$	$7.6 \times 10^{-5} \text{eV}^2$
$\Delta m_{32}^2$	$2.4 \times 10^{-3} \text{eV}^2$
$\sin^2 2\theta_{12}$	0.8495
$\sin^2 2\theta_{23}$	1.0
$\sin^2 2\theta_{13}$	0.1 (or 0)
$\delta_{CP}$	0
Mass hierarchy	Normal
$\nu$ travel length	295 km
Earth density	$2.6 \text{g/cm}^3$

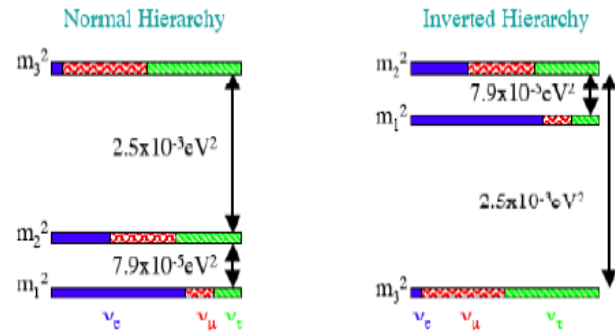
w/o ND280 fit  
 w/ ND280 fit



- $N_{\text{exp}}=20.4$  at  $\sin^2 2\theta_{13}=0.1$ , while we observe 28 events
- $\nu_{\mu}$  background significantly reduced by new NC  $\pi^0$  fitter
- Systematic uncertainties are reduced from 2012 release, mainly thanks to the near detector analysis

# New result

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## $\delta_{CP}$ vs. $\sin^2 2\theta_{13}$ contours



- Allowed region of  $\sin^2 2\theta_{13}$  for each value of  $\delta_{CP}$
- Best fit w/ 68% C.L. error @  $\delta_{CP}=0$

◆ normal hierarchy

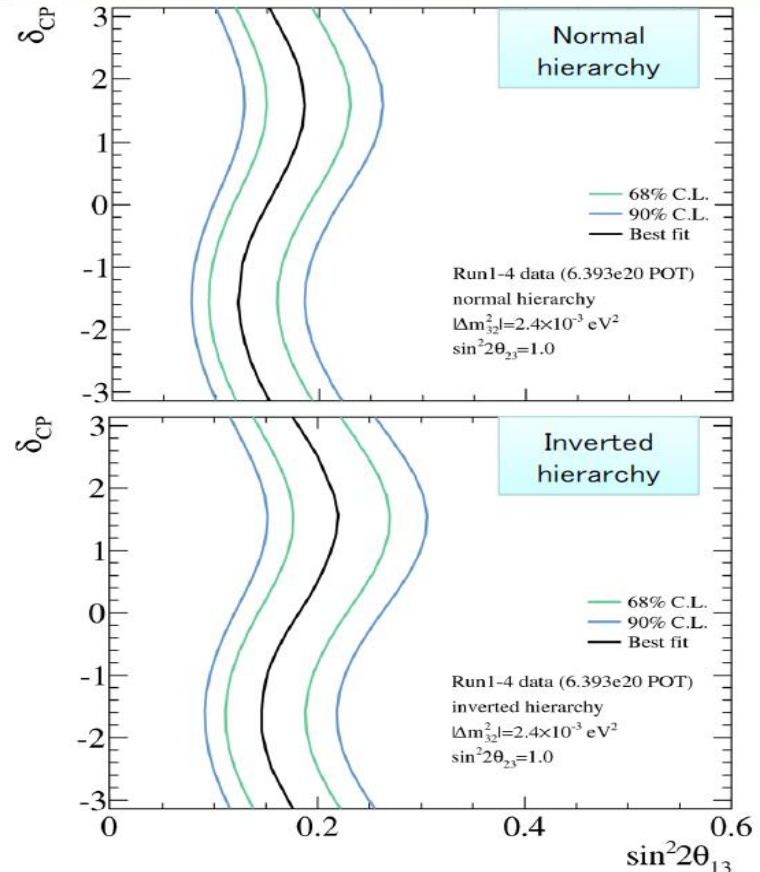
$$\sin^2 2\theta_{13} = 0.150^{+0.039}_{-0.034}$$

◆ inverted hierarchy:

$$\sin^2 2\theta_{13} = 0.182^{+0.046}_{-0.040}$$

Assuming  
 $|\Delta m^2_{32}| = 2.4 \times 10^{-3} \text{ eV}^2$   
 $\sin^2 2\theta_{23} = 1.0$

Cf.  $\sin^2 2\theta_{13} : 0.098 \pm 0.013$  (PDG2012)



# New result

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## サマリー

Citation from JPS 23aSE01



- $6.39 \times 10^{20}$ 個の陽子を使って、SKでの  $\nu_e$ アピアランス事象を探し、28個を観測。
- 電子の  $p$ - $\theta$  分布をもっとも再現するのは、 $\delta = 0$ の場合、  
**normal hierarchy:**  $\sin^2 2\theta_{13} = 0.150^{+0.039}_{-0.034}$   
**inverted hierarchy:**  $\sin^2 2\theta_{13} = 0.182^{+0.046}_{-0.040}$
- 28個観測されているのにニュートリノ振動がない  $\sin^2 2\theta_{13} = 0.0$ であるのは、 $\Delta\chi^2 = 56.3$ となり、 $7.5\sigma$ で棄却。

⇒我々T2Kは、 $7.5\sigma$ の有意性で、 $\nu_e$ アピアランス事象を発見した。

# Backup

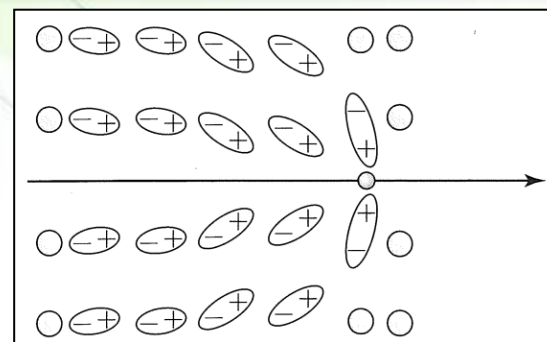
22

- These are written in Japanese for my scamped work
  - チェレンコフ光の検出
  - こころのさけび

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

# 1.6 チェレンコフ光 (粒子と物質の相互作用) 23/47

荷電子が物質中を”その物質の光速を超える速度”で通過するとき放出する微弱な光



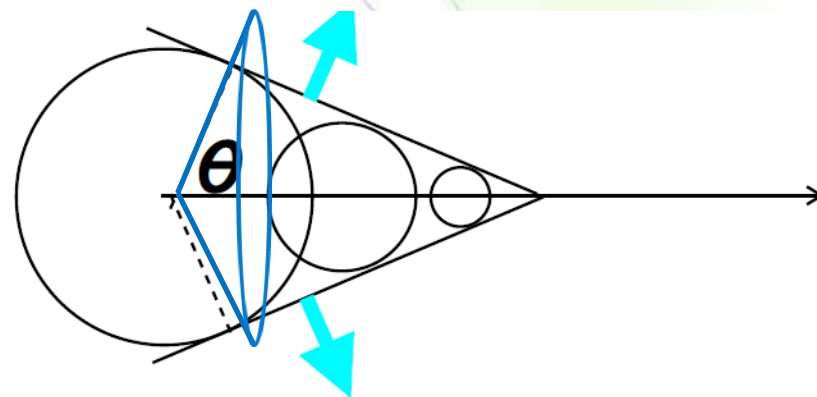
物質中での分極の様子

放出速度の  
閾値

$$\beta > \frac{1}{n}$$

放出角度  
(チェレンコフ角)

$$\cos \theta_c = \frac{1}{n\beta}$$



- 屈折率によって閾値が変化する
- 放出角は粒子の速度 $\beta$ に依存する

例) 石英の場合  $n=1.47$  で閾値は  $\beta > 0.68$   
 水の場合  $n=1.33$  で閾値は  $\beta > 0.75$





# こころのさけび

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**論文講読の素材は  
あまり長いものを選  
ぶべきではない。**

**(T\_T)**